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PATENT

Attorney Docket No. UM-04491

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James R. Baker, Jr. *et al.*

Serial No.: 09/751,059

Group No.:

Filed: 12/29/00

Examiner:

Entitled: Antimicrobial Compositions And Methods Of Use


SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT TRANSMITTALAssistant Commissioner for Patents
Washington, D.C. 20231

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| CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A) | |
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| Dated: <u>June 25, 2002</u> | By: <u>Mary Ellen Wake</u> Mary Ellen Wake |

Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed before the issuance of a first Office Action and therefore, Applicants believe no fee is required but if the Commissioner deems otherwise, the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: June 25, 2002
David A. Casimir
Registration No. 42,395MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
608/218-6900

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STATEMENT TRANSMITTAL**

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Registration No. 42,395

MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
608/218-6900

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Serial No.: 09/751,059

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Entitled: **Antimicrobial Compositions And Methods Of Use**



**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on **June 25, 2002**.

By: Mary Ellen Waite
Mary Ellen Waite

Sir or Madam:

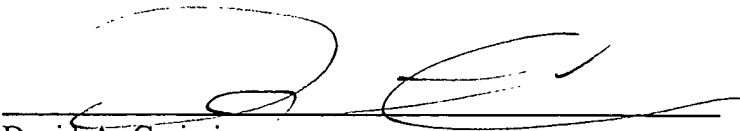
The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications, which were cited in the corresponding PCT application International Search Report, which may be material to the examination of this application:

- U.S. Patent 5,709,879 filed on 01/20/98 to Barchfeld *et al.*
- U.S. Patent 5,368,837 filed on 11/29/94 to Baker *et al.*
- U.S. Patent 5,961,970 filed on 10/05/99 to Lowell *et al.*

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: June 25, 2002

A handwritten signature in black ink, appearing to read 'David A. Casimir', is written over a horizontal line.

David A. Casimir
Registration No. 42,395
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 305
San Francisco, California 94105
415/904-6500

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| FORM PTO-1449 (Modified) | | | U.S. Department of Commerce Patent and Trademark Office | | Attorney Docket No.: UM-04491 | | Serial No.: 09/751,059 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) | | | | | Applicant: James R. Baker, Jr. <i>et al.</i> | | | |
| (37 CFR § 1.98(b)) | | | | | Filing Date: 12/29/00 | | Group Art Unit: | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials | Cite No. | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date | |
| | 1 | 5,709,879 | 01/20/98 | Barchfeld <i>et al.</i> | 424 | 450 | 06/06/95 | |
| | 2 | 5,368,837 | 11/29/94 | Baker <i>et al.</i> | 424 | 5 | 04/14/94 | |
| | 3 | 5,961,970 | 10/05/99 | Lowell <i>et al.</i> | 424 | 93.1 | 04/29/96 | |
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| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS | | | | | | | | |
| | | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| | | | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | |
| | | | | | | | | |
| Examiner: | | | | | Date Considered: | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |